



**INCITE Co-Investment Fund**

This certification is required by Section 3011(c)(2) of the Small Business Jobs Act of 2010 from any private entity that receives financial assistance using funds received by a Participating State under the State Small Business Credit Initiative.

Legal name of Approved Investor applicant (“Applicant”): \_\_\_\_\_

As required by Section 3011(c)(2) of the Small Business Jobs Act of 2010, the Applicant hereby certifies to the Participating State as follows:

No principal of the Applicant has been convicted of a sex offense against a minor (as such terms are defined in Section 111 of the Sex Offender Registration and Notification Act (42 U.S.C. 16911)).

For purposes of this certification, “principal” is defined as (i) if a sole proprietorship, the proprietor; (ii) if a partnership, each partner; or (iii) if a corporation, limited liability company, association, or development company, each director, each of the five most highly compensated executives, officers, or employees of the entity, and each direct or indirect holder of 20% or more of the ownership stock or stock equivalent of the entity.

Legal Name: \_\_\_\_\_

By: \_\_\_\_\_

Print: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_